

AUDIT ATTESTATION - ETSI ASSESSMENT 2018 GLOBALTRUST

A-SIT reference: VIG-18-086

Vienna, 01/29/2019

To e-commerce monitoring GmbH

To whom it may concern

This is to confirm that A-SIT, Secure Information Technology Center – Austria¹ has successfully audited the GLOBALTRUST CAs operated by *e-commerce monitoring GmbH, Redtenbachergasse 20, 1160 Vienna, Austria registered under “Handelsgericht Wien FN 224536 a”* on behalf of *ARGE DATEN - Österreichische Gesellschaft für Datenschutz, Redtenbachergasse 20, 1160 Vienna, Austria (“Austrian Society for Data Protection”)* registered under ZVR 774004629.

A-SIT is an accredited conformity assessment body (EN ISO/IEC 17065) registered under ID 0929 at Akkreditierung Austria². The accreditation scope³ includes ETSI EN 319 401 V2.1.1, ETSI EN 319 411-1 V1.1.1, ETSI EN 319 411-2 V2.1.1 and ETSI EN 319 421 V1.1.1 and relevant articles of the Regulation (EU) Nr. 910/2014 (“eIDAS”).

The audit took place on 12/18/2018 according to ETSI EN 319 411-1 V1.1.1 policies LCP, OVCP, PTC-BR (including the requirements of ETSI EN 319 401 V2.1.1) and fulfilled all requirements. Additional documents and evidences have been provided and checked on 11/29/2018 and 12/03/2018. The audit was performed as full audit.

The following CA policy documents were referenced during the audit:

- GLOBALTRUST® Certificate Policy Version 2.0b + Version 2.0c (draft)
OID: 1.2.40.0.36.1.1.8.1
- GLOBALTRUST® Certificate Practice Statement Version 2.0b + Version 2.0c (draft)
OID: 1.2.40.0.36.1.2.3.1

¹ hereinafter known as A-SIT

² See <https://www.en.bmdw.gv.at/technicalaffairsandsurveying/Akkreditierung/Seiten/default.aspx> and <https://www.bmdw.gv.at/Nationale%20Marktstrategien/Akkreditierung/Documents/product%20certification%20bodies.pdf> for a list of accredited ISO/IEC 17065 CABs

³ See https://www.bmdw.gv.at/Nationale%20Marktstrategien/Akkreditierung/Documents/AA_0929_17065_A-SIT.pdf

The GLOBALTRUST CAs have been issued under the following Root CAs which had been covered and successfully audited according to ETSI EN 319 411-1 V1.1.1 policies LCP, OVCP, PTC-BR:

- Root 1, Friendly Name: *“Austrian Society for Data Protection GLOBALTRUST 2015 Certification Service”*
CN: GLOBALTRUST 2015
Thumb Print (SHA-1): 46 5b 26 be be 71 06 dd 85 44 c1 13 9d 9f a2 57 00 c1 d7 bd
Certificate Serial #: 00
- Root 2, Friendly Name: *“Austrian Society for Data Protection GLOBALTRUST Certification Service”*
CN: GLOBALTRUST
Thumb Print (SHA-1): 34 2c d9 d3 06 2d a4 8c 34 69 65 29 7f 08 1e bc 2e f6 8f dc
Certificate Serial #: 00

The assessment covered the period from 06/21/2017 until 06/20/2018. The next assessment has to be successfully finalized before 06/30/2019.

The assessment performed covered all relevant CAs, including their roots as described in the aforementioned certificates that will be issued to GLOBALTRUST. The full PKI hierarchy has been documented during the audit – see Appendix I to this audit attestation.

GLOBALTRUST may publish this audit attestation in a publicly-accessible location, as required.

In case of any question, please contact Mr Daniel Konrad (e-mail: daniel.konrad@a-sit.at)

With kind regards,

A-SIT Secure Information Technology Center – Austria
Vienna, 01/29/2019

Prof. DI Dr. Reinhard Posch, Director

Enclosure: Appendix I – GLOBALTRUST PKI hierarchy

Appendix I – GLOBALTRUST PKI hierarchy

Root CA:

GLOBALTRUST
C=AT
L=Vienna
ST=Austria
O=ARGE DATEN - Austrian Society for Data Protection
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST
emailAddress=info@globaltrust.info
SHA256Fingerprint=5E3571F33F45A7DF1537A68B5FFB
9E036AF9D2F5BC4C9717130DC43D7175AAC7

OVCP SubCAs:

GLOBALTRUST SERVER OV 1
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST SERVER OV 1
SHA256Fingerprint=6F7718A79ACDC673C6113F21A228
43084A80442F97D08EBB400929BE51D820AE

LCP SubCAs:

GLOBALTRUST ADVANCED 1
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST ADVANCED 1
emailAddress=info@globaltrust.eu
SHA256Fingerprint=D6D244742E8FC5645B15010F1CD5
9208F7A63E3BF100083E146F182941A61D98

GLOBALTRUST CLIENT 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST CLIENT 1
emailAddress=info@globaltrust.eu
SHA256Fingerprint=08A0FD0AB6369EE96191C1C246B7
9971A3DB5C5F2CFC6C4C5CD68CDFEBBE0E73

GLOBALTRUST GOVERNMENT 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST GOVERNMENT 1

RootCA:

GLOBALTRUST 2015
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015
SHA256Fingerprint=416B1F9E84E74C1D19B23D8D7191
C6AD81246E641601F599132729F507BEB3CC

OVCP SubCAs:

GLOBALTRUST 2015 SERVER OV 2
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2015 SERVER OV 2
SHA256Fingerprint=2AA69ED0228F06A409254105DFBB
F5924C2BEEB3EDBBB6C5F99087D810DCF504

LCP SubCAs:

GLOBALTRUST 2015 ADVANCED 1
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 ADVANCED 1
SHA256Fingerprint=A75DC97C261D126B705064989B0F
7E1C19C5EA4A4FE08C6A61A41AD8BF6252AF

GLOBALTRUST 2015 ADVANCED SEAL 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 ADVANCED SEAL 1
SHA256Fingerprint=8027F453DF0D4D7D521B1F57F06D
4773DCE7CCBA63EE96C627656BFC11A20790

GLOBALTRUST 2015 CLIENT 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 CLIENT 1
SHA256Fingerprint=ED1E061A00B8E0F4C8409C63CBA
DD21550A4CEB6BB0D678A8A88973DE8ACB86E

GLOBALTRUST 2015 GOVERNMENT 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 GOVERNMENT 1

emailAddress=info@globaltrust.eu
SHA256Fingerprint=6F10E437CF15E54B7B4167C9676D
B8F7FD78BC0B63B01CB68EBD00C37334D983

GLOBALTRUST CODESIGNING 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST CODESIGNING
emailAddress=info@globaltrust.eu
SHA256Fingerprint=378687012B46CBCAE8E0D9F09C93
62BFB1F2883E26D411A2B686E935CEB68501

Qualified SubCAs:

GLOBALTRUST QUALIFIED 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST QUALIFIED 1
SHA256Fingerprint=AF9F3BCE85779A95C56B4E4D90C
DBBF8D4215B9DD5B36C79EA80B05DA922B1B3

GLOBALTRUST QUALIFIED TIMESTAMP 2

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
CN=GLOBALTRUST QUALIFIED TIMESTAMP 2
SHA256Fingerprint=C87C2D7B322F1A19AF6BF34095A0
8275E2C16E0874343DFDCBDC79D0F0EE74BB

Intermediate CAs:

GLOBALTRUST COMPANY CLIENT 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
CN=GLOBALTRUST COMPANYCLIENT 1
SHA256Fingerprint=D00619199BA1D93CF9971C0C57B0
1E240C220BDE981A5FA1757D975D269E7EF1

Other SubCAs (issued by Intermediate):

COMPANY CLIENT LUNIK2 1

C=AT
L=Linz
O=Lunik2 Marketing Services GmbH
CN=COMPANY CLIENT LUNIK2 1
SHA256Fingerprint=16DF814F336B9D6318EE1D4BAFE6
889C540566669A78523BF691BD0F3D0A98FE

SHA256Fingerprint=A41D1216216EE3316902080C55893
13597A5474DD7F21E78FCD9E7705837D547

GLOBALTRUST 2015 CODESIGNING 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 CODESIGNING 1
SHA256Fingerprint=C2E14A608A41BEE20238EB6BF407
582578BEAE11131D62825F0801525A11C698

Qualified SubCAs:

GLOBALTRUST 2015 QUALIFIED 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 QUALIFIED 1
SHA256Fingerprint=012E7FA627D3AB6ED00496A8BD3C
7A35B7A195ABE13E45D95363FA85ACF245C4

GLOBALTRUST 2015 TIMESTAMP 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service,
CN=GLOBALTRUST 2015 QUALIFIED TIMESTAMP 1
SHA256Fingerprint=945522340E54B7F2226BE9E6272F1
8D2C3D6ECA5A579764518DAC7B0E0883FC9

Intermediate CAs:

GLOBALTRUST 2015 COMPANY CLIENT 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2015 COMPANY CLIENT 1
SHA256Fingerprint=EFCF30EFefd471867EBA62E42AD
D6F698243FE315887B9D2486EB84CD2BC3EA1

GLOBALTRUST 2015 COMPANY SERVER 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 COMPANY SERVER 1
SHA256
Fingerprint=3E7F788E6F6CDC0482EC554906E965B4D0
73213CBFD545F6848097D2B0499858

Other SubCAs (issued by Intermediate):

E-Control Client CA 01

C=AT
L=Wien
O=Energie-Control Austria
OU=IT&TK
CN=E-Control Client CA 01
emailAddress=cert.services@e-control.at

SHA256Fingerprint=B84A33D93EF1B1738475C5A36F35
E4E7649A7A27BAB8F8C51A133926C218F745

COMPANY SubCA STROBL 1

C=AT
ST=Oberösterreich
L=Linz
O=Strobl Advertising Group GmbH
CN=COMPANY SubCA STROBL 1
SHA256Fingerprint=A8F3508859C2172C1ADF01D51362
A121238948A19DB99128CD71DF9327E5017C

COMPANY SubCA LVA 1

C=AT
ST=Wien
L=Wien
O=LVA GmbH
CN=COMPANY SubCA LVA 1
SHA256Fingerprint=2214F5CCE9DEDECB6F5633E8AD1
9C43C178315D6EE795780938973E67A463250

COMPANY SubCA RAG 1

C=AT
ST=Wien
L=Wien
O=Rohöl-Aufsuchungs Aktiengesellschaft
OU=Information Technology
CN=COMPANY SubCA RAG 1
SHA256Fingerprint=8F8DA920A3B0A582846A41E0EBC8
5B7B1FDD909D802021190DE6151E90136CC2