

AUDIT ATTESTATION – ETSI ASSESSMENT 2021 GLOBALTRUST

A-SIT reference: VIG-21-041

Vienna, 04/28/2021

To e-commerce monitoring GmbH

To whom it may concern

This is to confirm that A-SIT, Secure Information Technology Center – Austria¹ has successfully audited the GLOBALTRUST CAs operated by *e-commerce monitoring GmbH, Redtenbachergasse 20, 1160 Vienna, Austria registered under “Handelsgericht Wien FN 224536 a”* on behalf of *ARGE DATEN - Österreichische Gesellschaft für Datenschutz, Redtenbachergasse 20, 1160 Vienna, Austria (“Austrian Society for Data Protection”)* registered under ZVR 774004629.

A-SIT is an accredited conformity assessment body (EN ISO/IEC 17065:2012 and ETSI EN 319 403 V2.2.2 (2015-08)) registered under ID 0929 at Akkreditierung Austria². The accreditation scope³ includes ETSI EN 319 401 V2.2.1, ETSI EN 319 411-1 V1.2.2, ETSI EN 319 411-2 V2.2.2 and ETSI EN 319 421 V1.1.1 and relevant articles of the Regulation (EU) Nr. 910/2014 (“eIDAS”).

The audit took place on 04/14/2021 (due to COVID-19 restrictions as remote assessment via video conferencing) according to ETSI EN 319 411-1 V1.2.2 policies LCP, NCP, NCP+, OVCP, EVCP, PTC-BR (including the requirements of ETSI EN 319 401 V2.2.1), ETSI EN 319 411-2 V2.2.2 policies QCP-w, QCP-n, QCP-n-qscd, QCP-l, QCP-l-qscd and ETSI EN 319 421 and fulfilled all requirements without any critical findings.

The audit was performed as full audit. The following CA policy documents were referenced during the audit:

- GLOBALTRUST® Certificate Policy Version 2.0h
OID: 1.2.40.0.36.1.1.8.1
- GLOBALTRUST® Certificate Practice Statement Version 2.0h
OID: 1.2.40.0.36.1.2.3.1
- GLOBALTRUST® Company Certificate Practice Statement Version 1.0
OID: 1.2.40.0.36.1.1.999.999

The GLOBALTRUST CAs have been issued under the following Root CAs which had been covered and successfully audited:

¹ hereinafter known as A-SIT

² See <https://www.bmdw.gv.at/en/Services/Accreditation.html> and <https://www.bmdw.gv.at/dam/jcr:05799243-c8c8-4f1e-9316-c670b98e13c3/product%20certification%20bodies.pdf> for a list of accredited ISO/IEC 17065 CABs

³ See https://www.bmdw.gv.at/dam/jcr:0fdae6a4-5b4e-41cd-be05-afb6f761dc57/AA_0929_17065_A-SIT.pdf

Root 1, GLOBALTRUST:

C=AT

L=Vienna

ST=Austria

O=ARGE DATEN - Austrian Society for Data Protection

OU=GLOBALTRUST Certification Service

CN=GLOBALTRUST

emailAddress=info@globaltrust.info

SHA256Fingerprint: 5E3571F33F45A7DF1537A68B5FFB9E036AF9D2F5BC4C9717130DC43D7175AAC7

Certificate Serial #: 00

Root 2, GLOBALTRUST 2015:

C=AT

L=Wien

ST=Wien

O=e-commerce monitoring GmbH

OU=GLOBALTRUST Certification Service

CN=GLOBALTRUST 2015

SHA256Fingerprint: 416B1F9E84E74C1D19B23D8D7191C6AD81246E641601F599132729F507BEB3CC

Certificate Serial #: 00

Root 3, GLOBALTRUST 2020:

C=AT

O=e-commerce monitoring GmbH

CN=GLOBALTRUST 2020

SHA256Fingerprint: 9A296A5182D1D451A2E37F439B74DAAFA267523329F90F9A0D2007C334E23C9A

Certificate Serial# (hex): 5A4BBD5AFB4F8A5BFA65E5

The assessment covered the period from 04/01/2020 until 03/31/2021. The next assessment has to be successfully finalized before 04/30/2022.

The assessment performed covered all relevant CAs, including their roots. The full PKI hierarchy has been documented during the audit – see Appendix I to this audit attestation.

GLOBALTRUST may publish this audit attestation in a publicly-accessible location, as required.

In case of any question, please contact Mr Daniel Konrad (e-mail: daniel.konrad@a-sit.at).

Enclosure: Appendix I – GLOBALTRUST PKI hierarchy

With kind regards,

A-SIT Secure Information Technology Center – Austria

Vienna, 04/28/2021

Prof. DI Dr. Reinhard Posch, Director

Appendix I – GLOBALTRUST PKI hierarchy

Root CA:

GLOBALTRUST
C=AT
L=Vienna
ST=Austria
O=ARGE DATEN - Austrian Society for Data Protection
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST
emailAddress=info@globaltrust.info
SHA256Fingerprint=5E3571F33F45A7DF1537A68B5
FFB9E036AF9D2F5BC4C9717130DC43D7175AAC7

OVCP SubCAs:

GLOBALTRUST SERVER OV 1
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST SERVER OV 1
SHA256Fingerprint=6F7718A79ACDC673C6113F21A
22843084A80442F97D08EBB400929BE51D820AE

RootCA:

GLOBALTRUST 2015
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015
SHA256Fingerprint=416B1F9E84E74C1D19B23D8D7191C6A
D81246E641601F599132729F507BEB3CC

OVCP SubCAs:

GLOBALTRUST 2015 SERVER OV 2
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2015 SERVER OV 2
SHA256Fingerprint=2AA69ED0228F06A409254105DFBBF59
24C2BEEB3EDBBB6C5F99087D810DCF504

EVCP SubCAs:

GLOBALTRUST 2015 SERVER EV 2
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2015 SERVER EV 2
SHA256Fingerprint=3A014BEF9DE8E25AE4A685A5CA351ED
D2B110EB8CDE33E17B829509D78FC5E4A

RootCA:

GLOBALTRUST 2020
C=AT
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2020
SHA256Fingerprint=9A296A5182D1D451A2E37F439B74DAA
FA267523329F90F9A0D2007C334E23C9A

OVCP SubCAs:

GLOBALTRUST 2020 SERVER OV 1
C=AT
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2020 SERVER OV 1
SHA256Fingerprint=AF55B372EA64FF3080F31F842991381
6DEABAF390FC5D2AC271E9A9F62D8F575

EVCP SubCAs:

GLOBALTRUST 2020 SERVER EV 1
C=AT
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2020 SERVER EV 1
SHA256Fingerprint=A5B27D844A0ECB68F1D06572320A2F
07C667DE96B84E68A9AF234669397D6C4

GLOBALTRUST 2020 CODESIGNING EV 1

C=AT
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2020 CODESIGNING EV 1
SHA256Fingerprint=F2438A6E12295054348E64D1DF1C572
F248F52F4BB4CEB7FA4DBF16148A6D563

LCP SubCAs:

GLOBALTRUST CLIENT 1
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST CLIENT 1
emailAddress=info@globaltrust.eu
SHA256Fingerprint=08A0FD0AB6369EE96191C1C246B79971A3DB5C5F2CFC6C4C5CD68CDFEBBE0E73

NCP, NCP+ SubCAs:

GLOBALTRUST ADVANCED 1
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST ADVANCED 1
emailAddress=info@globaltrust.eu
SHA256Fingerprint=D6D244742E8FC5645B15010F1CD59208F7A63E3BF100083E146F182941A61D98

LCP SubCAs:

GLOBALTRUST 2015 CLIENT 1
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 CLIENT 1
SHA256Fingerprint=ED1E061A00B8E0F4C8409C63CBADD21550A4CEB6BB0D678A8A88973DE8ACB86E

NCP, NCP+ SubCAs:

GLOBALTRUST 2015 ADVANCED 1
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 ADVANCED 1
SHA256Fingerprint=A75DC97C261D126B705064989B0F7E1C19C5EA4A4FE08C6A61A41AD8BF6252AF

GLOBALTRUST 2015 ADVANCED 2

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 ADVANCED 2
SHA256Fingerprint=7D110CECF2665C1D0F0ABBDB545B4A87BC8D6C70C4B527D71006A57959A0AC6C

GLOBALTRUST 2015 ADVANCED SEAL 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 ADVANCED SEAL 1

LCP SubCAs:

GLOBALTRUST 2020 CLIENT 1
C=AT
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2020 CLIENT 1
SHA256Fingerprint=6DCA83EF586C940A2040ABDD900CAF0E00A014851F7F9C46EBA20BEDCC07BED7

NCP, NCP+ SubCAs:

GLOBALTRUST 2020 ADVANCED 1
C=AT
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2020 ADVANCED 1
SHA256Fingerprint=96D3B4C2FC953B3C12148BDFE9F20A265937B399CFAEFAF68D6273D876B58B43

SHA256Fingerprint=8027F453DF0D4D7D521B1F57F06D477
3DCE7CCBA63EE96C627656BFC11A20790

GLOBALTRUST GOVERNMENT 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST GOVERNMENT 1
emailAddress=info@globaltrust.eu
SHA256Fingerprint=6F10E437CF15E54B7B4167C96
76DB8F7FD78BC0B63B01CB68EBD00C37334D983

GLOBALTRUST 2015 GOVERNMENT 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 GOVERNMENT 1
SHA256Fingerprint=A41D1216216EE3316902080C5589313
597A5474DD7F21E78FCD9E7705837D547

GLOBALTRUST 2020 GOVERNMENT 1

C=AT
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2020 GOVERNMENT 1
SHA256Fingerprint=BA0555CB3C41A0063B767A85414EDD9
9B64AEEDEDA0430B75983F7E4387FA95A

GLOBALTRUST CODESIGNING 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST CODESIGNING
emailAddress=info@globaltrust.eu
SHA256Fingerprint=378687012B46CBCAE8E0D9F09
C9362BFB1F2883E26D411A2B686E935CEB68501

GLOBALTRUST 2015 CODESIGNING 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 CODESIGNING 1
SHA256Fingerprint=C2E14A608A41BEE20238EB6BF407582
578BEAE11131D62825F0801525A11C698

GLOBALTRUST 2020 CODESIGNING 1

C=AT
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2020 CODESIGNING 1
SHA256Fingerprint=8AEAC99EF365CD48C311CD09BFEA889
BE99D5A8275A5B820EEC906243612A436

Qualified SubCAs:

GLOBALTRUST QUALIFIED 1

(Policies: QCP-n, QCP-n-qscd)
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST QUALIFIED 1
SHA256Fingerprint=AF9F3BCE85779A95C56B4E4D9
0CDDBF8D4215B9DD5B36C79EA80B05DA922B1B3

Qualified SubCAs:

GLOBALTRUST 2015 QUALIFIED 1

(Policies: QCP-n, QCP-n-qscd)
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 QUALIFIED 1
SHA256Fingerprint=012E7FA627D3AB6ED00496A8BD3C7A3
5B7A195ABE13E45D95363FA85ACF245C4

Qualified SubCAs:

GLOBALTRUST 2020 QUALIFIED 1

(Policies: QCP-n, QCP-n-qscd, QCP-l, QCP-l-qscd)
C=AT
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2020 QUALIFIED 1
SHA256Fingerprint=16A84116D5D22CA0329EA360927B67E
DC61D9583F6846577A3F38734BB459D88

GLOBALTRUST 2015 QUALIFIED 2

(Policies: QCP-n, QCP-n-qscd)
C=AT
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2015 QUALIFIED 2

SHA256Fingerprint=7D2BA4C9666673791E5955C874868EE
B938791E248572590B15AEF3361ECF811

GLOBALTRUST QUALIFIED TIMESTAMP 2

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
CN=GLOBALTRUST QUALIFIED TIMESTAMP 2
SHA256Fingerprint=C87C2D7B322F1A19AF6BF3409
5A08275E2C16E0874343DFDCBDC79D0F0EE74BB

GLOBALTRUST 2015 QUALIFIED TIMESTAMP 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service,
CN=GLOBALTRUST 2015 QUALIFIED TIMESTAMP 1
SHA256Fingerprint=945522340E54B7F2226BE9E6272F18D
2C3D6ECA5A579764518DAC7B0E0883FC9

GLOBALTRUST 2015 SERVER QUALIFIED 1

(Policy QCP-w including all EVCP requirements)
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 SERVER QUALIFIED 1
SHA256Fingerprint=4B9235A7FEA69307129E842F392BF28
E98C6F6084660ABC44855654FA5099805

GLOBALTRUST 2015 SERVER QUALIFIED EV 1

(Policy QCP-w including all EVCP requirements)
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 SERVER QUALIFIED EV 1
SHA256Fingerprint=23EA405BB51D89CA5B67504C0F7193F
A51B77C831774094D59937503FF716369

GLOBALTRUST 2020 SERVER QUALIFIED EV 1

(Policy QCP-w including all EVCP requirements)
C=AT
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2020 SERVER QUALIFIED EV 1
SHA256Fingerprint=FE95A24E7F94978E58D99350AB7AB78
9774A987CD25187C128C191D207523BB1

GLOBALTRUST 2015 SERVER QUALIFIED EV 2

(Policy QCP-w including all EVCP requirements)
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 SERVER QUALIFIED EV 2

SHA256Fingerprint=761503E31F5D1A48E1ECCA91312DFC8
1C46265BA7FEECF276F8C04D19264B93B

Intermediate CAs:

GLOBALTRUST COMPANY CLIENT 1
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
CN=GLOBALTRUST COMPANYCLIENT 1
SHA256Fingerprint=D00619199BA1D93CF9971C0C5
7B01E240C220BDE981A5FA1757D975D269E7EF1

Intermediate CAs:

GLOBALTRUST 2015 COMPANY CLIENT 1
C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2015 COMPANY CLIENT 1
SHA256Fingerprint=EFCF30EFefd471867EBA62E42ADD6F6
98243FE315887B9D2486EB84CD2BC3EA1

Intermediate CAs:

GLOBALTRUST 2020 COMPANY CLIENT 1
C=AT
O=e-commerce monitoring GmbH
CN=GLOBALTRUST 2020 COMPANY CLIENT 1
SHA256Fingerprint=B868C8A09F1766D3289CE2CAC274882
54A7310996C1B049C42C9EADD1A5ED621

GLOBALTRUST 2015 COMPANY SERVER 1

C=AT
ST=Wien
L=Wien
O=e-commerce monitoring GmbH
OU=GLOBALTRUST Certification Service
CN=GLOBALTRUST 2015 COMPANY SERVER 1
SHA256Fingerprint=3E7F788E6F6CDC0482EC554906E965B
4D073213CBFD545F6848097D2B0499858
